

Fee Schedule for account clearing at Pershing LLC

Fees and charges normally associated with account maintenance are listed below. However, some additional services not listed have additional fees. Unless otherwise stated in your account documentation, we will automatically deduct fees and charges from your account.

Fees are subject to change without notice, and we reserve the right to implement new fees and charges for additional features in the future.

ACAT (Transfer Out)	\$50
Certified Check Delivery	\$22
Disbursement Error - Insufficient Funds	\$30
Insufficient Funds - Banking and Funds	\$30
Loan Processing \$60 Payment Stop Error	\$60
Stop Check Fee	\$20
Uncollected Fund Error	\$30
Void Check Error	\$20
Bond Redemption Notification	\$1
Shipping and Handling Confirmations	Up to \$18
Paper Delivery of Shipping and Handling Confirmations	\$2
Paper Statements	\$2.75
Electronic Statements	\$0
1099 Paper Delivery	\$2
Overnight Check Delivery	\$25
Overnight Foreign Check Delivery	\$35
Overnight Saturday Delivery	\$28
Inactive Account Fee	\$50
Foreign Receive and Delivery	
Agent Bank Fee - Local Market Delivery	\$85
Agent Bank Fee – Euro Clear Delivery	\$51

Agent Bank - Local Market Receive	\$76
Agent Bank – Euro Clear Receive	\$60
Clearance Fee ACATS	\$85
Euro Clear ACATS	\$70
International Clearance Away	\$25
International Clearance F/X with	\$5
<u>Margin Extensions</u>	
COD Extensions	\$10
Margin Extension	\$12
Precious Metal Storage Fees	75 BPS per year
ProCash Cash Management	\$30
Retirement Cash Management	\$30
Safekeeping Items - Per Month	\$3
Outgoing Wires	\$20
Outgoing Non USD Wires	\$30